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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Application Number | | 10751742 | |
| | Filing Date | | 2004-01-05 | |
| | First Named Inventor | Michael K. Au | | |
| | Art Unit | 3625 | | |
| | Examiner Name | Dunham, Jason B. | | |
| | Attorney Docket Number | CA920030049US1 (292) | | |

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| | 2 | 6415320 | | 2002-07-02 | Hess et al. | | | |
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|--|---|-----------|----|----|------------|----------------|--|--------------------------|
| | 1 | 02/079904 | WO | A2 | 2002-10-10 | Gilbert et al. | | <input type="checkbox"/> |
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| | 2 | CHOI, Samuel P.M., et al; A Dynamic Mechanism for Time-Constrained Trading; Agents '01, May 28-June 1, 2001 | <input type="checkbox"/> |
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☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| | | | |
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| Signature | /Scott D. Paul/ | Date (YYYY-MM-DD) | 2007-09-12 |
| Name/Print | Scott D. Paul | Registration Number | 42,984 |

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